

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **High Efficiency Lighting System**, the specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)
(Number) (Country) (Day/Month/Year Filed)
None

Priority Claimed
(Yes) (No)

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Sec. 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status-Patented, Pend, Aban
08/606,219	3/7/96	Pending
08/328,574	10/24/94	Issued 3/19/96 Patent # 5500561
08/129,375	9/29/93	Abandoned
07/944,796	9/14/92	Abandoned
07/638,637	1/18/91	Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

08820496-031997

POWER OF ATTORNEY: I hereby appoint as my attorney,
with full powers of substitution and revocation, to
prosecute this application and transact all business in the
Patent and Trademark Office connected therewith:

ALFRED M. WALKER Reg. No. 29,983

Send correspondence to:

ALFRED M. WALKER
PATENT ATTORNEY
225 Old Country Road
Melville, New York 11747
(516) 361-8737

FULL NAME OF SOLE INVENTOR: William George Wilhelm

Citizenship: USA

Residence Address: 39 Forrestall Dr.

Mastic, NY 11950

Post Office Address: Same

DATE: March 18, 1997

William G. Wilhelm
William George Wilhelm

W.G.W.
3/18/97

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2667ED-96402880

APPLICANT/PATENTEE: William George Wilhelm
SERIAL NO./PATENT NO.: To Be Assigned
FILED OR ISSUED: To Be Assigned
FOR: High Efficiency Lighting System

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(b)) INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **High Efficiency Lighting System** described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

FULL NAME: Nextek Power Systems, Inc.
ADDRESS: 1019 Montauk Highway Shirley, NY 11967
SMALL BUSINESS CONCERN

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in the loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

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I hereby declare that all statements made herein on my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

DATE: March 18 , 1997

William G. Wilhelm *W. G. W.*
William George Wilhelm 3/18/97

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APPLICANT OR PATENTEE: William George Wilhelm
SERIAL OR PATENT NO.: To be assigned
FILED OR ISSUED: To be assigned
FOR: High Efficiency Lighting System

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Nextek Power Systems, Inc.
ADDRESS OF CONCERN: 1029 Montauk Highway, Shirley, NY 11967

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **High Efficiency Lighting System** by inventor William George Wilhelm described in the specification filed herewith.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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NAME:
ADDRESS:

NONE

(INDIVIDUAL) (SMALL BUSINESS CONCERN) (NONPROFIT ORGANIZ.)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

William George Wilhelm

TITLE OF PERSON OTHER THAN OWNER:

Vice President.

ADDRESS OF PERSON SIGNING:

1029 Montauk Highway
Shirley, NY 11967

DATE: March 18, 1997

William G. Wilhelm *H. G. W.*
3/18/97

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